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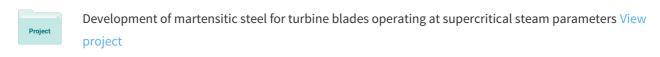
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# The role of deformation banding in grain refinement under ECAP

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**Abstract.** The mechanism of grain refinement in an Al-5.4Mg-0.4Mn-0.2Sc-0.09Zr alloy subjected to equal-channel angular pressing (ECAP) at 300°C through route  $B_C$  is considered. It was shown that the formation of geometrically necessary boundaries (GNB) aligned with a {111} plane at  $\varepsilon \le 1$  initiates the occurrence of continuous dynamic recrystallization (CDRX). Upon further strain the GNBs transform to low-to-moderate angle planar boundaries that produces lamellar structure. In the strain interval 2-4, 3D arrays of planar boundaries evolve due to inducing the formation of  $2^{nd}$  order and higher orders families of GNBs in new {111} planes. GNBs gradually convert to high-angle boundaries (HAB) with strain. A uniform recrystallized structure is produced at a true strain of ~8. The role of slip concentration and shearing patterns in the formation of GNBs is discussed.

## Introduction

The grain refinement mechanisms operating during equal-channel angular pressing (ECAP) in aluminum alloys are still subject to debate [1-4]. Continuous dynamic recrystallization (CDRX) is the most probable mechanism providing grain refinement in aluminum alloys. In general, CDRX is a two-step phenomenon that includes the formation of stable three-dimensional (3D) arrays of deformation-induced low-angle boundaries (LABs) due to dislocation rearrangement followed by their gradual transformation into high-angle boundaries (HABs) [1,3]. The new grains form as a result of the increase in sub-boundary misorientation owing to continuous accumulation of the dislocations introduced by the deformation [1,3]. At intermediate temperatures, in aluminum alloys the formation of subgrains is the slowest process controlling the overall rate of the CDRX. The relatively high rate of CDRX under ECAP is attributed to the fact that the deformation banding results in the formation of planar geometrically necessary boundaries (GNBs) with moderate-to-high misorientation after first passes [1-5] that leads to the subdivision of the original grains into small, misoriented deformation bands [1,3]. It is apparent that this process plays a vital role in the formation of 3D networks of deformation-induced boundaries initiating CDRX [3]. However, to date, the relation between the deformation banding and grain refinement in ECAP processing is not completely understood.

The aim of this work is to consider the role of deformation banding in the grain refinement process occurring in the Al-Mg-Sc-Zr alloy, denoted as 1570C Al [6], and containing a dispersion of nanoscale coherent dispersoids, which suppresses the migration of deformation-induced boundaries. This alloy was subjected to ECAP with a back pressure (BP) using route  $B_C$  at an intermediate temperature of 300°C. At this temperature, the dislocation climb is operative [7] and may provide short-range rearrangement of lattice dislocations within dislocation boundaries [8], which leads to the easy formation of planar sub-boundaries. The application of a BP ensures that the mode of deformation occurs as a simple shear along the intersection plane.

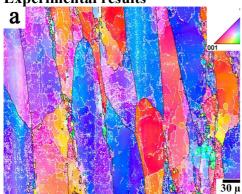
# **Material and Experimental Procedures**

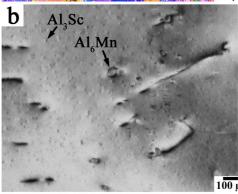
The commercial 1570C Al with chemical composition of Al-5.4Mg-0.4Mn-0.2Sc-0.1Zr-0.3Ti (in weight %) was manufactured by semi-continuous casting and then subjected to solution treatment at

 $360^{\circ}\text{C}$  for 8 hours and subsequent extrusion at an initial temperature of  $380^{\circ}\text{C}$  with ~50% reduction in cross section. The samples were machined from the central part of the extruded billet parallel to the extrusion direction into rods with a square cross-section of  $20\times20~\text{mm}^2$ . The channel had an L-shaped configuration with an angle of intersection of 90°. Deformation through this channel produced a strain of ~1 in each pass [1]. The sample was pressed under a pressure, P, and a constant back pressure,  $P_b = 0.2P_{\text{max}}$ , that was applied using a back plunger in the exit channel [9]. The rods were pressed up to strains of ~1, ~2, ~4, ~6, ~8, and ~12 with a pressing speed of ~3 mm/s.

The specimens for microstructural examination were cut from the central parts of the pressed rods in the longitudinal direction parallel to the last pressing direction. Techniques of EBSD and TEM analysis were reported previously [9]. HABs and LABs were defined when adjacent pixels in the map have a misorientation of >15° and 2°< $\theta$ ≤15°, respectively [1,9]. In addition, the statistics for LABs with misorientation  $0.1^{\circ}$ < $\theta$ ≤2° were calculated within the remnant regions of unrecrystallized grains depicted as small squares on misorientation maps after hot extrusion and  $1^{st}$ ,  $2^{nd}$ ,  $4^{th}$ , and  $6^{th}$  ECAP passes. The misorientations on the LABs were additionally studied using a conventional Kikuchi-line technique [10].

**Experimental results** 





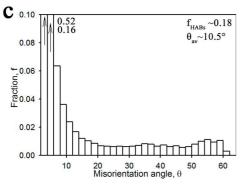
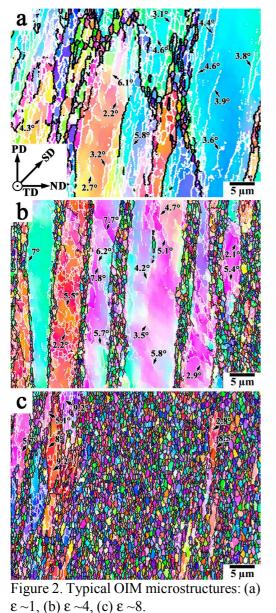


Figure 1. Initial microstructure after hot extrusion: (a) misorientation map, (b) TEM micrograph, (c) misorientation distribution.

Initial microstructure. The initial grains containing low dislocation density ( $\rho \sim 10^{13}~\text{m}^{-2}$ ) were elongated along the extrusion direction (Fig. 1a); their average dimensions in the longitudinal and transverse directions were ~93 and ~30  $\mu m$ , respectively. The fraction of HABs was ~18%, and the average misorientation was  $10.5^{\circ}$  (Fig. 1c). Two types of nanoscale dispersoids were observed. Coherent Al<sub>3</sub>(Sc,Zr) dispersoids having a size of ~10 nm were uniformly distributed, and round Al<sub>6</sub>Mn-phase particles with an average size of ~38 nm were occasionally observed within the grains (Fig. 1b). The boundaries of these dispersoids with size  $\geq 40$  nm have an incoherent origin, and the interface boundaries of the dispersoids with size  $\leq 20$  nm are coherent or semi-coherent.

**Deformation structure.** The first ECAP pass introduces increased dislocation densities ( $\rho \sim 4 \times 10^{13} \text{ m}^{-2}$ ) and provides the formation of planar GNBs with average misorientation of ~4° (Fig. 2a). The alignment of all boundaries occurred at an angle of ~30° to the shear direction (Fig. 2a). Some planar boundaries have high-angle misorientation, which increased the fraction of HABs and the average misorientation to ~37% and 15°, respectively. The GNBs were identified as moderate-to-high planar boundaries which tend to be aligned with the most-stressed {111} slip plane with a small inclination angle [11] of approximately 15° (Fig. 3a). It is obvious that the {111} plane along which the GNBs evolve strongly deviates from the shear plane. In addition, planar GNBs evolve near original boundaries that results in the formation of directional ribbon grain structures (Fig. 2a). Next, these lamellas are subdivided by transverse LABs into sub-grains and/or (sub)grains bounded partly by LABs and partly by HABs (Fig. 2a). In the areas on unrecrystallized remnants of initial grains the formation of networks of LABs with average misorientation of ~0.5° takes place (Fig. 3a).

Most of these sub-grains were essentially equiaxed in shape.



Upon further deformation the chains of recrystallized grains formed along the initial boundaries, which were aligned at an inclination angle of ~40° to the shear direction, and the recrystallized volume fraction increases owing to thickening recrystallization bands (Fig. 2b,c). For  $\varepsilon$ ~2, the density of the lattice dislocations within sub-grains was measured to be ~1.2×10<sup>14</sup> m<sup>-2</sup> and decreased insignificantly upon further strain. For  $\varepsilon$ -4, a partially recrystallized structure was observed (Fig. 3b). Recrystallized grains evolving on the original boundaries and lamellar structure near initial boundaries comprised the well-defined recrystallized bands (Fig. 2b). However, certain grain orientations are resistant to CDRX, and large remnants of initial grains remain (Fig. 2b). The formation of the new recrystallized layers occurred concurrently with increasing misorientation of the LABs, which delimited the deformation bands within the unrecrystallized region. As a result, the HAB fraction and the average misorientation tend to increase with strain. For  $\varepsilon$ ~8, a fully recrystallized structure was observed (Fig. 2c). The grains contained a moderate density of lattice dislocations  $(\rho \sim 6 \times 10^{13} \text{ m}^{-2})$ , and their boundaries were nearly free of grain boundary dislocations. The average sizes of the Al<sub>3</sub>(Sc,Zr) and Al<sub>6</sub>Mn particles decreased slightly to ~9.7 nm and ~33 nm, respectively.

Three stages of CDXR during ECAP [1,3] are distinctly distinguished.

- (i) For  $\epsilon \leq 1$ , the values of  $\theta_{av}$  (Fig. 4a) and  $V_{HAB}$  (Fig. 4b) increased to  $\sim 13^{\circ}$  and  $\sim 28\%$ , respectively. The dislocation density increased by a factor of 4.
- (ii) For  $1 \le \le 2$ , a limited increase of the  $\theta_{av}$  (Fig. 4a) and  $V_{HAB}$  (Fig. 4b) values with strain occurred, which led to a plateau of the values at ~13° and ~30 pct., respectively.

The density of lattice dislocations increased by a factor of 2.5. The size of the grains and (sub)grains remained nearly unchanged.

(iii) In the strain interval from 2 to 8, the  $\theta_{av}$  (Fig. 4a) and  $V_{HAB}$  (Fig. 4b) steeply increase with strain. The density of lattice dislocations remained essentially unchanged. The sizes of the grains and subgrains tended to increase with strain.

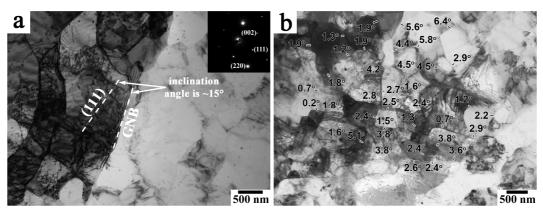


Figure 3. Typical TEM microstructures: (a)  $\varepsilon \sim 1$  and (b)  $\varepsilon \sim 4$ .

A gradual transformation of LABs into HABs with strain led to the increasing volume fraction of the recrystallized grains. At  $\varepsilon$ >8, a slow increase and saturation of  $\theta_{av}$  (Fig. 4a) and  $V_{HAB}$  (Fig. 4b) occurred because the recrystallized volume fraction attained ~70% for  $\varepsilon$ ~8. Two main processes of microstructural evolution occurred within the central areas of original grains: first, transformation of LABs into HABs, and second, the subdivision of ribbon-like grains into (sub)grains of equiaxed shape. As a result, crystallites acquired essentially equiaxed shape with strain and the recrystallized volume fraction attained ~90% for  $\varepsilon$ ~12.

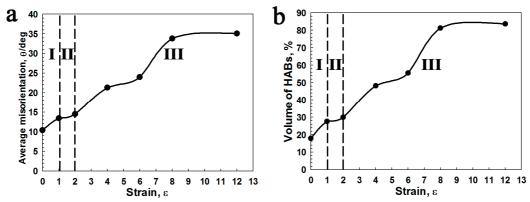


Figure 4. Effect of strain on (a) the average misorientation,  $\theta$ ; (b) the volume of HABs

# **Discussion**

The extensive grain refinement in the 1570C Al during ECAP at intermediate temperatures is attributed to the initial formation of GNBs. The continuous lattice curvature is replaced by discrete boundary misorientations. In the present alloy the appearance of deformation-induced HABs is attributed solely to the formation of GNBs, and, therefore, the formation of GNBs plays a vital role in CDRX. ECAP promotes the rapid formation of the GNBs aligned with most stressed {111} planes [11] owing to high strain gradient within narrow zone of plastic deformation and the simple shear providing a very high-slip concentration in one {111} plane. One pass leads to a slip concentration in one {111} crystallographic plane, which tends to be aligned with the die-shearing plane. GNBs belonging to one family form. These boundaries easily transform into planar sub-boundaries due to the short-range rearrangement of the lattice dislocations by climbing within these boundaries. At  $\varepsilon \sim 1$ , lamellas delimited by GNBs and original boundaries evolve. However, the formation of true grains entirely delimited by HABs requires the initial formation of 3D arrays of GNBs [1]. These 3D networks of deformation-induced boundaries can be produced in ECAP only by the subsequent activation of the dislocation glide in different {111} planes in consecutive passes due to the change in the shearing patterns from pass to pass occurring in such a way that provides the highest stress concentration in a new {111} plane in each consecutive pass. The route B<sub>C</sub> provides the subsequent change of the most stressed planes belonging to {111} family from pass to pass. Rotation of the billet between first and second pressings provides the reorientation of planar subboundaries belonging to first family at an angle of ~120° to the new shear plane. Extensive dislocation glide occurs along the other {111} plane, which is close to the die shearing plane (Fig. 5). In this case, the formation of the 2<sup>nd</sup>, and high-order families of GNBs occurs in the second, and subsequent passes, respectively, and the lamellar structure evolved after first pass is replaced by chains of recrystallized grains (Fig.3a-c) due to consecutive shearing of lamellas by new GNBs. The repetitive shearing eventually results in the development of crystallites entirely delimited by planar subboundaries which gradually transform to HABs with the high rate with strain.

CDRX during ECAP in the present alloy at intermediate temperatures is a three-step phenomenon. In stage I, the deformation banding introduces a large misorientation. The number of dislocations emitted by sources was significantly higher than the number of dislocations consumed for the formation of LABs with misorientation less than 2°. In Stage II, the formation of 3D networks of planar deformation-induced boundaries occurs. At this stage, the misorientation of GNB increases

rapidly. However, the formation of 2<sup>nd</sup> order family of GNB, with an initially relatively low misorientation, provides an equilibrium balance between the increasing misorientations of GNB. In this stage, the ribbon structure eventually transforms into crystallites with an essentially round shape.

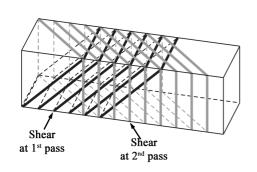


Figure 5. Schematic presentation of shearing pattern at 1<sup>st</sup> and 2<sup>nd</sup> passes

The formation of GNBs is accompanied by increasing density of lattice dislocations within the interiors of the deformation bands before a critical strain. It is apparent that the increases in the misorientation deformation-induced boundaries and the density of these lattice dislocations occur concurrently in the lamellar structure continuously transforming to granular one,  $\varepsilon_c$ . In Stage III, the misorientations begin to increase rapidly beyond a critical strain,  $\varepsilon_c$ , due to the rapidly increasing misorientation of former GNBs delimiting individual domains, in which multiple slips occur. In this stage, the lattice dislocations accumulated number of deformation-induced boundaries exceeds the number of

dislocations stored within the interiors of the round crystallites. As a result, the density of lattice dislocations decreases slightly, and the rate of misorientation growth increases. In addition, in this stage, the orientations, which were initially resistant to CDRX, are reoriented and undergo recrystallization. Thus, the localized simple shear under ECAP is extremely important for the rapid evolution of 3D arrays of GNBs in aluminum alloys.

## **Conclusions**

ECAP through route  $B_C$  is effective in extensive grain refinement in aluminum alloys because the simple shear provides a notably high-slip concentration in one {111} plane, which induces the formation of geometrically necessary boundaries aligned with this plane. After first pass the 1<sup>st</sup> family of geometrically necessary boundaries evolves, providing a rapid increase in the average misorientation,  $\theta_{av}$ , and the portion of high-angle boundaries. After second and subsequent passes the  $2^{nd}$  and high order families of geometrically necessary boundaries evolves, which leads to the formation of a 3D network of planar boundaries. The ribbon-like structure is substituted by round crystallites. As a result, at a total strain  $\geq 8$ , to the formation of a fully recrystallized structure with an average grain size of  $\sim 0.8 \ \mu m$  takes place.

## Acknowlegement

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